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WASHINGTON, D.C. 20231

Case Docket No.: 104/16

jc598 U.S. PTO  
09/534960  
03/27/00

Sir:

Transmitted herewith for filing is the patent application of

Inventor: MICHAEL FRIEDMAN, DANIELLA LICHT, RACHEL COHEN, AVRAHAM YACOBI, YECHIEL GOLANDER, DAN MOROS AND BARRIE LEVITT

For CONTROLLED DELIVERY SYSTEM OF ANTIFUNGAL AND KERATOLYTIC AGENTS FOR LOCAL TREATMENT OF FUNGAL INFECTIONS OF THE NAIL AND SURROUNDING TISSUES

Enclosed are:

- ☒ \_\_\_ sheets of informal drawing(s).
- ☒ An assignment of the invention to TARO
- ☐ A certified copy of a \_\_\_\_\_ application.
- ☐ An associate power of attorney.
- ☒ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☐ Other - \_\_\_\_\_

The filing fee has been calculated as shown below:

(Col.1)	(Col.2)	
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	93 - 20 =	73
INDEP CLAIMS	3 - 3 =	0
Recordal of Assignment		40

\* If the difference in Col.1 is less  
than zero, enter "0" in Col.2

SMALL ENTITY	
RATE	FEE
	345
	\$ 380
73 x 9 =	\$ 657
0 x 39	\$ 0
	\$ 40
TOTAL	\$ 1077

OTHER THAN A SMALL ENTITY	
RATE	FEE
	\$ 760
x18 =	\$
x78	\$
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TOTAL	\$

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- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of allowance, pursuant to 37 CFR 1.311(b).
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully,

Mark M. Friedman  
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SMALL BUSINESS CONCERN - NEW APPLICATION

Attorney Docket No.: 104/16

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In RE Application of: MICHAEL FRIEDMAN, DANIELLA LICHT, RACHEL COHEN, AVRAHAM YACOBI, YECHIEL GOLANDER, DAN MOROS AND BARRIE LEVITT

Filed Concurrently Herewith

For: CONTROLLED DELIVERY SYSTEM OF ANTIFUNGAL AND KERATOLYTIC AGENTS FOR LOCAL TREATMENT OF FUNGAL INFECTIONS OF THE NAIL AND SURROUNDING TISSUES

VERIFIED STATEMENT UNDER 37 CFR 1.27  
CLAIMING STATUS AS A SMALL ENTITY

To The Commissioner of Patents and Trademarks:

I hereby declare that:

I am the owner of, or an official empowered to act on behalf of, the small business concern identified below:

Name of Concern: TARO PHARMACEUTICAL INDUSTRIES LTD.

Address : BEIT MERKAZIM, MASKIT St., P.O. BOX 2043, HERZLIA PITUACH 46120, ISRAEL

The small business concern identified above, together with its affiliates, employs fewer than 500 persons and qualifies as a small business concern as defined in 37 CFR 1.9(d) for purposes of paying reduced fees under 35 USC § 41(a) and § 41(b) to the Patent and Trademark Office with regard to the above-entitled invention described in the specification filed herewith.

Rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above entitled invention.

If the rights held by the small business concern are not exclusive, each other party having rights to the invention is listed below, and no rights to the invention are held by any party who could not qualify as a small entity under 37 CFR 1.9(f), namely any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Full Name (Party 1) : \_\_\_\_\_

Address : \_\_\_\_\_

Status : ☐ Individual ☒ Small Business Concern ☐ Nonprofit Organization

Full Name (Party 2) : \_\_\_\_\_

Address : \_\_\_\_\_

Status : ☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty under 37 CFR 1.28(b) to file, in this application, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the issue fee due after the date on which status as a small entity is no longer appropriate.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application and any patent issuing thereon.

SAMUEL RUBINSTEIN  
Name of Person Signing

S. Rubinst  
Signature

1/28/2000  
Date

Capacity of Person Signing: Senior V.P. Taro Pharmaceutical Industries LTD.

Address of Person Signing : 21 HAMESILA, HERZLIA 46580, ISRAEL

## INDEPENDENT INVENTOR - NEW APPLICATION

Attorney Docket No.: 104/16

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In RE Application of: MICHAEL FRIEDMAN, DANIELLA LICHT, RACHEL COHEN, AVRAHAM YACOBI, YECHIEL GOLANDER, DAN MOROS AND BARRIE LEVITT

Filed Concurrently Herewith

For: CONTROLLED DELIVERY SYSTEM OF ANTIFUNGAL AND KERATOLYTIC AGENTS FOR LOCAL TREATMENT OF FUNGAL INFECTIONS OF THE NAIL AND SURROUNDING TISSUES

VERIFIED STATEMENT UNDER 37 CFR 1.27  
CLAIMING STATUS AS A SMALL ENTITY

To The Commissioner of Patents and Trademarks:

As a below named Inventor, I hereby declare that:

I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under 35 USC § 41(a) and § 41(b) to the Patent and Trademark Office with regard to the above-entitled invention described in the specification filed herewith.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any party who could not qualify as a small entity under 37 CFR 1.9(f), namely any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each party, if any, who could qualify as a small entity under 37 CFR 1.9(f) and to whom I have assigned, granted, conveyed or licensed or am under an obligation under contract or law to assign, grant, convey or license, any rights in the invention is listed below:

Full Name (Party 1) : TARO PHARMACEUTICAL INDUSTRIES LTD.

Address : BEIT MERKAZIM, MASKIT St., P.O.BOX 2043, HERZLIA PITUACH 46120, ISRAEL

Status : ☐ Individual ☒ Small Business Concern ☐ Nonprofit Organization

Full Name (Party 2) : \_\_\_\_\_

Address : \_\_\_\_\_

Status : ☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty under 37 CFR 1.28(b) to file, in this application, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the issue fee due after the date on which status as a small entity is no longer appropriate.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application and any patent issuing thereon.

MICHAEL FRIEDMAN  
Name of Inventor 1

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Signature of Inventor 1

DANIELLA LICHT  
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YECHIEL GOLANDER  
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Signature of Inventor 7

# APPLICATION FOR PATENT

5 Inventors: Michael Friedman, Daniella Licht, Rachel Cohen, Avraham Yacobi,  
Yechiel Golander, Dan Moros and Barrie Levitt

10

Title: CONTROLLED DELIVERY SYSTEM OF ANTIFUNGAL AND  
KERATOLYTIC AGENTS FOR LOCAL TREATMENT OF FUNGAL  
INFECTIONS OF THE NAIL AND SURROUNDING TISSUES

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## FIELD OF THE INVENTION

The present invention relates to a sustained release composition in the  
form of varnish or spray comprising an antifungal agent, a keratolytic agent, or  
preferably a combination of antifungal and keratolytic agents, for local  
20 treatment of fungal infections of the nails and/or surrounding tissues. The  
composition additionally features a humectant, water, one or more  
film-forming polymers, and solvents. The composition may further comprise an  
antibacterial agent, an antiviral agent, an antipsoriatic agent or a combination  
thereof.

## BACKGROUND OF THE INVENTION

Fungal infections are probably the most common disorder of nails encountered in medical practice. It has been estimated that approximately 90% of elderly people have some degree of toenail involvement with fungi.

5 Conditions of moisture and occlusion of the lower extremities favor fungal colonization. Pain may result from extreme deformity of the nail plate, but usually, the complaint is one of cosmetic appearance. The most common organisms involved in the fungal infections of the nail are *Trichophyton rubrum*, *Trichophyton mentagrophytes*, *Epidermophyton floccosum*, *Candida*  
10 *albicans*, *Microsporum persicolor*, *Cephalosporium* species, *Aspergillus* species, and *Fusarium oxysporum*.

Fingernail infection is of far greater importance cosmetically and fortunately clears faster than toenail infection because of the more rapid growth rate of fingernails. Despite this, 4-6 months of oral griseofulvin may be  
15 required to bring about complete clearing of the fingernail. For toenail infections with extensive involvement of multiple digits, withholding treatment may be the best decision. One of the factors in the treatment decision is whether the patient is taking other medications, as griseofulvin interacts with several drugs, including anticoagulants.

Griseofulvin was the drug of choice for many years, but its low cure rate and the development of newer, more effective drugs has caused it to lose favor. Current therapeutic alternatives include itraconazole and terbinafine. These drugs are well tolerated, but attention to drug interactions is still necessary

5 [Trepanier, E.F and Amsden, G.W. *Annals of Pharmacotherapy*, 32, 1998, 204-214].

At present, topical treatment of fungal infections directly to the nail plate is discouraging. Creams or solutions containing antifungal agents, such as imidazole derivatives, are able to deliver the active agent to the nail only for a

10 short period of time and their permeability/penetration through the nail is very low.

U.S. Patent No. 5,120,530 discloses an antimycotically-active nail varnish, containing an antimycotically-active substance (a morpholine derivative) and a water-insoluble film former which is a copolymerizate of

15 acrylic acid esters and methacrylic acid esters having a low content of quaternary ammonium groups. The formulations disclosed are expected to be poorly effective because they do not contain a keratolytic agent or a humectant. As a result, the nail permeability and consequently the penetration of the antimycotic agent will be very low. In the present invention the humectant

entrap the water in the film after evaporation of the organic solvents, thus enabling the solubilization of the active agents in the film. Because the water content of the nail is very low, the presence of water in the film should hydrate the nail and improve the transport of the active agents into the nail. The  
5 keratolytic agent should also help by increasing the penetration of the antifungal agent into the deeper layers of the nail.

U.S. Patent No. 4,957,730 discloses a nail varnish comprising a water-insoluble film-forming substance and an antimycotic substance, specifically 1-hydroxy-2-pyridones. The formulations disclosed do not contain  
10 a humectant. The nail permeability of the formulations disclosed and consequently the penetration of the active agent into the deeper layers of the nail is expected to be very low, thereby failing to achieve the desired pharmacological action and cure.

U.S. Patent No. 5,814,305 discloses a nail preparation comprising an  
15 antifungal agent, at least one hydrophilic penetration agent, and a water-alcohol solvent medium. The formulations disclosed are disadvantageous; they are in the form of a lotion or fluid gel and do not contain a film-forming agent. As a result a sustained release action is not achieved with these formulations. The formulations disclosed are disadvantageous since such a dosage form would

require multiple applications of the formulation, leading to poor patient compliance. Because of the hydrophilic character of the formulations, in the presence of water or mechanical contact, the lotion or gel will likely be washed off or removed from the nail, thereby reducing the accumulation of the active agents in the nail.

UK Patent Appl. No GB2202743 A discloses a topical antifungal composition in the form of a lotion, gel or varnish, comprising at least 1% by weight (relative to the total weight of the composition) of miconazole nitrate or econazole nitrate dissolved in a mixture of water, urea, and a water-soluble dissolving intermediary. Urea is used in the formulation as a solubility increasing agent. When the composition is in the form of a varnish it contains a resin. The lotion and gel formulations disclosed are disadvantageous since such a dosage form will not provide sustained release action and would require multiple applications of the formulation, leading to poor patient compliance. Because of the hydrophilic properties of the formulations, in the presence of water or mechanical contact, the lotion or gel will likely be washed off or removed from the nail, thereby reducing the accumulation of the active agents in the nail. The varnish formulations disclosed are disadvantageous, and do not contain a humectant. As a result, the antifungal agent will not be solubilized in



the film, and the hydration of the nail and transport of the antifungal agent through the nail will be very low, preventing achievement of the desired pharmacological action. In addition, UK Patent Appl. No GB2202743 A describes a delivery system specific for miconazole nitrate or econazole nitrate, which is not therefore a general delivery system for other antifungal agents.

None of these prior art references suggest or disclose the use of a combination of antifungal agent, keratolytic agent, and a humectant (glycerol at high concentrations). This combination is particularly advantageous because it increases the penetration of the active antifungal agent through the nail and thus provides better pharmacological action.

U.S. Patent No. 5,346,692 discloses a nail lacquer for treating onychomycosis, which comprises (a) a film former agent, (b) at least one antimycotically active substance, (c) urea; and (d) a solvent which comprises (i) 50-70 wt. % of acetone; and (ii) 30-50 wt. % of 90 volume % aqueous ethanol. The formulations disclosed are disadvantageous since they use high concentrations of the antimycotically active substance and urea, thereby causing unwanted adverse effects (for example irritation and burning) which leads to poor compliance.

The formulations disclosed do not contain glycerol which would entrap

the water in the film after evaporation of the organic solvents. The presence of water in the film enables the active agents to be maintained in a soluble form that is readily available for pharmacological action. Since the water content in the nail is very low, the presence of water in the film hydrates the nail so that the active agents can be delivered into deeper layers of the nail.

There is thus a need for, and it would be useful to have, a better formulation, containing low concentrations of the antifungal and keratolytic agents, to deliver pharmacologically active agents to the nail for the treatment of fungal infection thereof. This formulation would feature a film-forming agent and a humectant preferably glycerol, for trapping water in the film formed on the nail, and the water so trapped would hydrate the nail for delivery of the agent thereto.

This formulation would be lower in cost because of the lower concentrations of the antifungal and keratolytic agents. Additionally, such formulation would reduce the unwanted side effects caused by high concentrations of the antifungal and keratolytic agents and yet be suitable for treatment of fungal infections of the nail and surrounding tissues.

## SUMMARY OF THE INVENTION

The present invention provides a pharmaceutical sustained release preparation in a varnish or spray form for local treatment of the nail and surrounding tissues, where the active ingredient is an antifungal agent, a  
5 keratolytic agent, or preferably a combination of an antifungal and a keratolytic agent. The composition may further comprise an antibacterial, an antiviral, an antipsoriatic agent or combinations thereof.

In a first embodiment the present invention provides a sustained release nail varnish composition comprising:

- 10 (a) a pharmaceutically effective agent;
- (b) a humectant;
- (c) water;
- (d) less than about 7.5% (w/w) based on the total weight of the composition,  
of a polymeric film-forming agent;
- 15 (e) at least one additional excipient; and
- (f) a solvent system including at least one volatile solvent.

In a second embodiment the present invention provides a sustained release nail varnish composition comprising:

- (a) an antifungal agent;
- (b) a keratolytic agent;
- (c) a humectant;
- (d) water;
- 5 (e) a polymeric film-forming agent;
- (f) at least one additional excipient; and
- (g) a solvent system including at least one volatile solvent.

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In a preferred embodiment the pharmaceutically effective agent is selected from the group consisting of an antifungal agent, a keratolytic agent, and mixtures thereof.

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In a preferred embodiment the antifungal agent is selected from the group consisting of amphotericin B, butefanine, butoconazole, carbol-fuchsin, ciclopirox, clioquinol, clotrimazole, econazole, gentian violet, ketoconazole, miconazole, naftifine, nystatin, oxiconazole, sodium thiosulfate, terbinafine, terconazole, tolnaftate, undecylenic acid, therapeutically acceptable salts thereof, derivatives thereof, and mixtures thereof.

15

In a preferred embodiment the concentration of the antifungal agent in the varnish solution is less than about 1% (w/w).

In a preferred embodiment the antifungal agent is present in

concentration of less than about 5% (w/w) based on the weight of the non-volatile components.

In a preferred embodiment the keratolytic agent is selected from the group consisting of urea, sulfur, salicylic acid, podophyllum resin, and mixtures thereof.

In a preferred embodiment the concentration of the keratolytic agent in the varnish solution is less than about 1% (w/w).

In a preferred embodiment the keratolytic agent is present in concentration of from about 0.05% to about 5% (w/w), based on the weight of the non-volatile components.

In a preferred embodiment the pharmaceutically effective agent further comprises an antibacterial, an antiviral, an antipsoriatic agent, or mixtures thereof.

In a preferred embodiment the antibacterial agent is selected from the group consisting of bacitracin, clindamycin, erythromycin, gentamicin, mupirocin, neomycin, tetracyclines, polymyxin B, benzalkonium chloride, boric acid, hexachlorophene, iodine, iodoquinol, mafenide, mercury ammoniated, metronidazole, nitrofurazone, selenium sulfide, silver sulfadiazine, salts thereof, derivatives thereof, and mixtures thereof.

In a preferred embodiment the concentration of the antibacterial agent in the varnish solution is from about 0.01% to about 1% (w/w).

In a preferred embodiment the antibacterial agent is present in concentration of from about 0.05% to about 5% (w/w), based on the weight of the non-volatile components.

In a preferred embodiment the antiviral agent is selected from the group consisting of acyclovir, amantadine, cidofovir, famciclovir, foscarnet, ganciclovir, palivizumab, penciclovir, ribavirin, rimantadine, valcyclovir, salts thereof, derivatives thereof, and mixtures thereof.

In a preferred embodiment the concentration of the antiviral agent in the varnish solution is from about 0.08% to about 0.8% (w/w).

In a preferred embodiment the antiviral agent is present in concentration of from about 0.8% to about 8% (w/w), based on the weight of the non-volatile components.

In a preferred embodiment the antipsoriatic agent is selected from the group consisting of alclometasone, amcinonide, betamethasone, clobetasol, clocortolone, desonide, desoximetasone, diflorasone, fluocinolone, fluocinonide, flurandrenolide, halcinonide, hydrocortisone, mometasone, prednicarbate and triamcinolone, salts thereof, derivatives thereof, and mixtures

thereof.

In a preferred embodiment the concentration of the antipsoriatic agent in the varnish solution is from about 0.02% to about 2% (w/w).

In a preferred embodiment the antipsoriatic agent is present in  
5 concentration of from about 0.1% to about 10% (w/w), based on the weight of the non-volatile components.

In a preferred embodiment the humectant is selected from the group consisting of glycerol, sorbitol, and mixtures thereof.

In a preferred embodiment the concentration of the humectant in the  
10 varnish solution is from about 3% to about 15% (w/w).

In a preferred embodiment the humectant is present in concentration of from about 5% to about 35% (w/w), based on the weight of the non-volatile components.

In a preferred embodiment the water concentration in the varnish  
15 solution is less than about 5% (w/w).

In a preferred embodiment the concentration of the water in the film is from about 0.4% to about 25% (w/w).

In a preferred embodiment the polymeric film-forming agent is selected from the group consisting of hydrophobic (water insoluble) polymers.

In a preferred embodiment the hydrophobic (water insoluble) polymer is selected from the group consisting of hydrophobic cellulose derivatives, hydrophobic methacrylic polymers, cellulose acetate phthalate, shellac, derivatives thereof, and mixtures thereof.

5 In a preferred embodiment the hydrophobic cellulose derivative is selected from the group consisting of ethyl cellulose of any acceptable molecular weight.

In a preferred embodiment the hydrophobic methacrylic polymer is selected from the group consisting of methacrylic acid copolymer type B  
10 (USP/NF), methacrylic acid copolymer type C (USP/NF), ammonio methacrylate copolymer type B (USP/NF) and ammonio methacrylate copolymer type A (USP/NF), derivatives thereof, and mixtures thereof.

In a preferred embodiment the hydrophobic methacrylic polymer is selected from the group consisting of Eudragit S, Eudragit L, Eudragit RS, and  
15 Eudragit RL manufactured by Rohm Pharma, but hydrophobic methacrylic polymers from other sources can also be used.

In a preferred embodiment the concentration of the polymeric film-forming agent in the varnish solution is less than about 7.5% (w/w).

In a preferred embodiment the polymeric film-forming agent is present



in concentration of from about 8% to about 35% (w/w), based on the weight of the non-volatile components.

In a preferred embodiment the weight ratio of polymer to the antifungal agent is in the range from about 1:0.01 to about 1:0.3.

5 In a preferred embodiment the weight ratio of polymer to the keratolytic agent is in the range from about 1:0.01 to about 1:1.

In a preferred embodiment the weight ratio of polymer to antibacterial agent is in the range from about 1:0.01 to about 1:0.3.

10 In a preferred embodiment the weight ratio of polymer to antiviral agent is in the range from about 1:0.02 to about 1:0.2.

In a preferred embodiment the weight ratio of polymer to antipsoriatic agent is in the range from about 1:0.006 to about 1:0.15.

In a preferred embodiment the at least one additional excipient is selected from a group consisting of plasticizers.

15 In a preferred embodiment the plasticizer is selected from the group consisting of dibutyl sebacate, diethyl phthalate, lanolin alcohols, mineral oil, petrolatum, polyethylene glycol, propylene glycol, triacetin, triethyl citrate, and mixtures thereof.

In a preferred embodiment the concentration of the plasticizer in the

varnish solution is from about 0.1% to about 2% (w/w).

In a preferred embodiment the plasticizer is present in concentration of from about 0.5% to about 10% (w/w), based on the weight of the non-volatile components.

5 In a preferred embodiment the weight ratio of the plasticizer to the polymer is in the range from about 0.04:1 to about 0.3:1.

In a preferred embodiment the volatile solvent is selected from the group consisting of an alcohol, a ketone, and mixtures thereof.

In a preferred embodiment the alcohol is selected from the group  
10 consisting of ethanol, isopropyl alcohol, methanol and mixtures thereof.

In a preferred embodiment the ketone is acetone.

In a preferred embodiment the volatile solvent is a mixture of acetone and isopropyl alcohol.

In a preferred embodiment the volatile solvent is present in an amount of  
15 from about 60% to about 90% (w/w), relative to the total weight of the composition.

In a preferred embodiment the volumetric ratio of acetone to isopropyl alcohol is in the range from about 1:4 to about 4:1.

In a preferred embodiment the solvent system further includes at least

one non-volatile solvent selected from the group consisting of benzyl alcohol, benzyl benzoate, corn oil, cottonseed oil, ethyl oleate, glycerin, glycofural, isopropyl myristate, isopropyl palmitate, mineral oil, peanut oil, polyethylene glycol, propylene glycol, propylene carbonate, sesame oil, soybean oil, water, and mixtures thereof. .

In a preferred embodiment the composition may further comprise preservatives, antioxidants, surfactants and coloring agents.

In a third embodiment the present invention provides a method of preparing a sustained release varnish or spray formulation for treating the nail and surrounding tissues, comprising the steps of (a) preparing a solution including at least one volatile solvent; (b) adding water to the solution prepared in (a); (c) dissolving the pharmaceutically effective agents, and excipients in the solution prepared in (b); (d) adding the humectant to the solution prepared in (c) when the formulation ingredients are completely dissolved; and (e) dissolving the polymeric film-forming agents in the solution prepared in (d).

#### Definitions

By “varnish solution” is meant the total composition before evaporation

of the volatile components.

By “film” is meant the non-volatile components, which are remained after evaporation of the volatile components of the varnish solution.

By “concentration or amount relative to the total weight of the composition” is meant concentration in the varnish solution, before application to the nail or before evaporation of the volatile components.

By “concentration or amount based on the weight of the non-volatile components” is meant concentration based on the weight of the components remaining after evaporation of the volatile components (i.e. concentration in the film).

#### DESCRIPTION OF THE PREFERRED EMBODIMENTS

The aim of this invention was to develop a sustained release delivery system for antifungal agents achieving high penetration through the nail by combining the antifungal agent with a keratolytic agent and a humectant.

The present invention provides a topical, sustained release pharmaceutical preparation in a varnish or spray form for treating the nail and surrounding tissues, where the active ingredient is an anti-fungal agent, a

keratolytic agent, or preferably a combination of an antifungal and a keratolytic agent. The composition may further comprise an antibacterial, an antiviral, an antipsoriatic agent or combinations thereof.

The composition features an effective quantity of at least one antifungal agent, an effective quantity of at least one keratolytic agent, a humectant, water, polymers, optionally at least one additional pharmaceutical excipient and finally a solvent medium. The additional excipients include plasticizers. The composition may further comprise an effective quantity of at least one antibacterial, antiviral, antipsoriatic agent or combinations thereof.

The delivery system is in the form of a solution or spray for self-application by the patient. After application of the solution to the nail surface, the solvent evaporates and a film/coating is formed on the surface. The film/coating has the capacity to release the antifungal and keratolytic agents in therapeutic levels over a prolonged period of time.

The combination of an antifungal and keratolytic agent is advantageous because it increases the penetration of the antifungal agent through the nail. Because the amount of water in the nail is very low, it is essential to achieve relatively high concentrations of water in the film. The humectant is added to the present invention in order to retain water in the film after the evaporation of

the organic solvents. The film formed after evaporation of the volatile solvents contains the pharmaceutically effective agents, polymers, humectant, the water entrapped by the humectant, and additional non-volatile excipients. The presence of water in the film is of significant importance because it maintains the active agents in a saturated-reservoir solution, thus enabling the solubilized agents to be released in a controlled manner into the nail.

The combination of glycerol (humectant), water and low concentrations of keratolytic and antifungal agents, used in the present invention, are particularly advantageous. The water entrapped in the film by the glycerol hydrates the nail and enables low concentrations of the keratolytic agent to be used in order to increase the permeability of the nail. Consequently lower concentrations of the antifungal agent will be adequate in order to diffuse through the nail and provide the desired pharmacological action. Such a combination would reduce the unwanted side effects caused by high keratolytic agent and antifungal agent concentrations. An additional advantage of the use of low keratolytic agent and antifungal agent concentrations is the reduction of the product price.

The antifungal agents are preferably amphotericin B, butefanine, butoconazole, carbol-fuchsin, ciclopirox, clioquinol, clotrimazole, econazole,

gentian violet, ketoconazole, miconazole, naftifine, nystatin, oxiconazole, sodium thiosulfate, terbinafine, terconazole, tolnaftate, undecylenic acid, therapeutically acceptable salts thereof, derivatives thereof, and mixtures thereof, more preferably clotrimazole and miconazole nitrate, most preferably miconazole nitrate. Preferably the concentration of the antifungal agents in the  
5 varnish solution is less than about 1% (w/w) and most preferably 0.3-0.9% (w/w).

Preferably the concentration of the antifungal agents based on the weight of the non-volatile components is less than about 5% (w/w) and most  
10 preferably 0.3-4.7% (w/w).

The keratolytic agents are added to the present invention in order to increase the permeability of and penetration into the nail.

The keratolytic agents are preferably urea, sulfur, salicylic acid, podophyllum resin, and mixtures thereof, most preferably urea. Preferably the  
15 concentration of the keratolytic agents in the varnish solution is less than about 1% (w/w), and most preferably 0.3-0.9% (w/w). Preferably the concentration of the keratolytic agent based on the weight of the non-volatile components is in the range from about 0.05% to about 5% (w/w).

The antibacterial agents are preferably bacitracin, clindamycin,

erythromycin, gentamicin, mupirocin, neomycin, tetracyclines, polymyxin B, benzalkonium chloride, boric acid, hexachlorophene, iodine, iodoquinol, mafenide, mercury ammoniated, metronidazole, nitrofurazone, selenium sulfide, silver sulfadiazine, salts thereof, derivatives thereof, and mixtures thereof. Preferably the concentration of the antibacterial agents in the varnish solution is in the range from about 0.01% to about 1% (w/w), and most preferably 0.2-0.8% (w/w). Preferably the concentration of the antibacterial agents based on the weight of the non-volatile components is in the range from about 0.05% to about 5% (w/w) and most preferably 1.5-4.5% (w/w).

The antiviral agents are preferably acyclovir, amantadine, cidofovir, famciclovir, foscarnet, ganciclovir, palivizumab, penciclovir, ribavirin, rimantadine, valcyclovir, salts thereof, derivatives thereof, and mixtures thereof. Preferably the concentration of the antiviral agents in the varnish solution is in the range from about 0.08% to about 0.8% (w/w) and most preferably 0.2-0.6% (w/w). Preferably the concentration of the antiviral agents based on the weight of the non-volatile components is in the range from about 0.8% to about 8% (w/w) and most preferably 2-6% (w/w).

The antipsoriatic agents are preferably alclometasone, amcinonide, betamethasone, clobetasol, clocortolone, desonide, desoximetasone,



diflorasone, fluocinolone, fluocinonide, flurandrenolide, halcinonide, hydrocortisone, mometasone, prednicarbate, triamcinolone, salts thereof, derivatives thereof, and mixtures thereof. Preferably the concentration of the antipsoriatic agents in the varnish solution is in the range from about 0.02% to about 2% (w/w) and most preferably 0.2-1.5% (w/w). Preferably the concentration of the antipsoriatic agents based on the weight of the non-volatile components is in the range from about 0.1% to about 10% (w/w) and most preferably 1-7.5% (w/w).

Since the water content of the nail is very low it is important to achieve relatively high concentrations of water in the delivery system. The humectant is added to the varnish solution in order to hold the water in the film after evaporation of the organic solvents. The presence of water in the film hydrates the nail so that the active agents can be delivered into the deeper layers of the nail. The humectant is preferably glycerol, sorbitol, and mixtures thereof, most preferably glycerol. Preferably the concentration of the humectant in the varnish solution is in the range from about 3% to about 15% (w/w), and most preferably 4-10% (w/w).

Preferably the concentration of the humectant based on the weight of the non-volatile components is in the range from about 5% to about 35% (w/w),

and most preferably 10-30% (w/w).

Although glycerol can serve at lower concentrations (less than 2% w/w based on the total weight of the composition) as a plasticizer, at this lower concentration range glycerol is not efficient as a humectant and therefore  
5 higher concentrations (above 3% w/w) of glycerol are required in order to be effective as a humectant.

Preferably the water concentration in the varnish solution is less than about 5% (w/w), more preferably 0.5-4.5% (w/w) and most preferably 1-4.5% (w/w). Preferably the concentration of the water in the film is in the range from  
10 about 0.4% to about 25% (w/w), more preferably 0.8-20% (w/w), and most preferably 2-18% (w/w).

The delayed release polymeric film-forming agents are preferably hydrophobic (water insoluble) polymers. The hydrophobic (water insoluble) polymers are preferably hydrophobic cellulose derivatives, hydrophobic  
15 methacrylic polymers, cellulose acetate phthalate, shellac, derivatives thereof and mixtures thereof. The hydrophobic cellulose derivatives are preferably ethyl cellulose of any acceptable molecular weight.

The hydrophobic methacrylic polymers are preferably methacrylic acid copolymer type B (USP/NF), methacrylic acid copolymer type C (USP/NF),

ammonio methacrylate copolymer type B (USP/NF) and ammonio methacrylate copolymer type A (USP/NF), derivatives thereof, and mixtures thereof. The hydrophobic methacrylic polymers are preferably Eudragit S, Eudragit L, Eudragit RS, and Eudragit RL manufactured by Rohm Pharma, but  
5 hydrophobic methacrylic polymers from other sources can also be used. The polymers provide a uniform film, retard the release rate of the drugs (agents), and can be mixed in regulated amounts to attain the desired drug release characteristics.

Preferably the concentration of the polymeric film-forming agent in the  
10 varnish solution is less than about 7.5% (w/w). Preferably the concentration of the polymeric film-forming agent based on the total weight of the non-volatile components is in the range from about 8% to about 35% (w/w), more preferably 18-30% (w/w) and most preferably 23-27% (w/w).

Preferably the weight ratio of polymer to the antifungal agent is in the  
15 range from about 1:0.01 to about 1:0.3 and most preferably is in the range from about 1:0.06 to about 1:0.25.

Preferably the weight ratio of polymer to the keratolytic agent is in the range from about 1:0.01 to about 1:1 and most preferably is in the range from about 1:0.05 to about 1:1

Preferably the weight ratio of polymer to antibacterial agent is in the range from about 1:0.01 to about 1:0.3 and most preferably is in the range from about 1:0.05 to about 1:0.25.

Preferably the weight ratio of polymer to antiviral agent is in the range  
5 from about 1:0.02 to about 1:0.2 and most preferably is in the range from about 1:0.05 to about 1:0.2.

Preferably the weight ratio of polymer to antipsoriatic agent is in the range from about 1:0.006 to about 1:0.15 , and most preferably is in the range from about 1:0.01 to about 1:0.15.

10 Plasticizers are added to the varnish solution in order to enhance the plasticity of the film formed and to modify the sustained release characteristics of the polymer. The plasticizer is preferably dibutyl sebacate, diethyl phthalate, lanolin alcohols, mineral oil, petrolatum, polyethylene glycol, propylene glycol, triacetin, triethyl citrate, or mixtures thereof, and most preferably polyethylene  
15 glycol with molecular weight of 300-6000.

Preferably the concentration of the plasticizer in the varnish solution is in the range from about 0.1% to about 2% (w/w), more preferably 0.2-1% (w/w), and most preferably 0.4-0.8% (w/w). Preferably the concentration of the plasticizer based on the total weight of the non-volatile components is in the

range from about 0.5% to about 10% (w/w), more preferably 1-5% (w/w), and most preferably 2-3% (w/w).

Preferably, the weight ratio of the plasticizer to the polymer is in the range from about 0.04:1 to about 0.3:1, and most preferably, the weight ratio of the plasticizer to polymer is in the range from about 0.05:1 to about 0.2:1.

Preferably the volatile solvent is selected from the group consisting of an alcohol, a ketone, and mixtures thereof.

The alcohol is preferably ethanol, isopropyl alcohol, methanol and mixtures thereof. The ketone is preferably acetone.

Preferably the volatile solvent is a mixture of acetone and isopropyl alcohol.

Preferably the volatile solvent is present in an amount of from about 60% to about 90% (w/w), and most preferably from about 70% to about 85% (w/w) relative to the total weight of the composition.

Preferably the volumetric ratio of acetone to isopropyl alcohol is in the range from about 1:4 to about 4:1 and most preferably from about 1:3 to about 3:1.

Preferably the solvent system further includes at least one non-volatile solvent.

The at least one non-volatile solvent is preferably benzyl alcohol, benzyl benzoate, corn oil, cottonseed oil, ethyl oleate, glycerin, glycofural, isopropyl myristate, isopropyl palmitate, mineral oil, peanut oil, polyethylene glycol, propylene glycol, propylene carbonate, sesame oil, soybean oil, water, and  
 5 mixtures thereof. .

Optional ingredients include at least one additive chosen from among the group consisting of preservatives, antioxidants, surfactants and coloring agents which are well known in the art.

The preservative is preferably benzoic acid, benzyl alcohol, bronopol,  
 10 butyl paraben, chlorbutanol, chlorocresol, cresol, ethyl paraben, methyl paraben, phenol, propyl paraben, sodium benzoate, sodium propionate, sorbic acid, or mixtures thereof.

The antioxidant is preferably alpha tocopherol, ascorbic acid, ascorbyl palmitate, butylated hydroxyanisole, butylated hydroxytoluene, fumaric acid,  
 15 malic acid, propyl gallate, sodium ascorbate, sodium metabisulfite, or mixtures thereof.

The surfactant is preferably cetrimide, sodium lauryl sulfate, docusate sodium, glyceryl monooleate, polysorbates, sorbitan esters, or mixtures thereof.

The coloring agent is preferably amaranth, brilliant blue, caratenoids,

carmoisine, curcumin, eosine, erythrosine, fluorescein, rhodoxantin, tetrazine, or mixtures thereof.

The composition prepared according to the present invention may advantageously be presented in the form of varnish or spray.

5 For a better understanding of the object of the invention, several examples of this composition are described; these are intended as purely illustrative examples without any intention of being limiting. It is to be understood that the invention is not limited in its application to the details of construction and the arrangement of the components set forth in the following  
10 description. The invention includes other embodiments and can be practiced or implemented in various ways. It is also to be understood that the phraseology and terminology employed herein is for the purpose of description only and should not be regarded as limiting.

15

## EXAMPLES OF THE FORMULATIONS OF THE PRESENT INVENTION

### Example 1

The formulations of the present invention were all prepared according to the general procedure which is described below (Preparation of Varnish).

Antifungal nail varnish sustained release formulations (quantities are in %  
(w/w))

Formulation No	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Urea	0.7	0.7	0.7	0.7	0.8	0.8	0.8	0.7	0.7	0.7	0.7	0.7	0.9	0.9	0.8
Clotrimazole											0.9	0.8	0.4	0.9	0.8
Miconazole nitrate	0.8	0.8	0.8	0.8	0.8	0.8	0.9	0.8	0.98	0.4					
Ethyl Cellulose			0.8	0.8	3.4	4.2	4.3								
Eudragit S	7.3	6.5	6.2	5.0					7.3	7.4	7.3	7.3	7.4	7.3	7.3
Eudragit RS							0.9	7.3							
Water	4.0	4.1	4.1	4.1	4.3	4.2	4.4	4.1	4.1	4.1	4.0	4.0	4.0	4.1	4.1
Acetone	61.3	61.8	61.5	62.3	63.9	63.3	65.6	61.3	61.2	61.5	61.2	61.2	61.5	61.0	61.1
Isopropyl alcohol	20.4	20.6	20.5	20.8	21.3	21.1	21.8	20.4	20.4	20.5	20.4	20.4	20.5	20.3	20.4
PEG 400	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.4
Glycerol	4.9	5.0	4.9	5.0	5.1	5.1	5.2	4.9	4.9	4.9	4.9	4.9	4.9	4.9	4.9

5 General procedure for the varnish preparation

A mixture of acetone and isopropyl alcohol was prepared. Water was added to the organic mixture and then urea was dissolved in the solution. PEG and the antifungal agent (miconazole nitrate) were added and dissolved in the solution.

When the antifungal agent was completely dissolved, the humectant was added to the solution. Thereafter the polymer was added to the solution. The solution was brought to the final weight using the organic mixture prepared in the first



step. All the steps of the varnish preparation were performed while continuously stirring the solution.

#### Film preparation

- 5 The films were cast from the varnish solutions onto glass surfaces (petri dishes). The solvent was allowed to evaporate for 24 h and the film was removed from the surface. Films of  $100 \times 10^{-4}$  cm in thickness were prepared and used for testing of (1) water residue in the film (2) dissolution rate of the active agents from the film and (3) sustained antifungal activity in vitro.

10

15

## Example 2

### Determination of the water residue in the films

All the films were tested using the Karl Fisher method to determine the water content.

5

<b>Formulation No. *</b>	<b>Water in dry film, % (w/w)</b>
1	12
1**	5
6	13
6**	4
7	13
7**	3

\* The formulation No. is related to the table in Example 1

\*\*without glycerol

10    These results show that the humectant is able to hold the water in the dry film.

### Example 3

#### Dissolution rates of the active agents (miconazole nitrate and urea)

Films were cut to a circular form,  $2.54 \text{ cm}^2$  in area, and were weighed accurately. Film thickness was measured with a micrometer. The membranes  
5 were attached to a specially designed dissolution basket in which only one surface of the membrane was exposed to the dissolution medium. 150 ml of 1% sodium lauryl sulfate solution served as the dissolution medium. The dissolution rates were measured in a Van-Kel (VK 7000) dissolution test apparatus at  $32^\circ\text{C}$  and 100 rpm rotating speed.

10 Aliquots were withdrawn at various times and replaced by fresh solvent, with corrections being applied in the calculations. The amount of drug released was determined spectrophotometrically at 230 nm for miconazole and 564 nm (color reaction) for urea.

The dissolution rates of miconazole nitrate and urea are presented in the  
15 following tables:

Dissolution rates of miconazole nitrate from the formulations described in example 1

Formulation	Amount drug released (%)				
	60 min	120 min	240 min	480 min	1440 min
1	7.5	21.2	37.8	50.2	96.1
6	6.5	11.8	20.8	30.5	61.2
7	5.1	8.4	14.2	20.6	52.4
14	7.0	16.0	21.8	28.9	70.1

Dissolution rates of urea from the formulations described in example 1

Formulation	Amount drug released (%)				
	60 min	120 min	240 min	480 min	1440 min
1	15.8	33.4	59.7	96.2	
6	10.2	26.1	42.5	65.0	99.8
7	8.6	19.1	38.9	49.2	95.1
14	11.2	24.0	46.2	61.0	98.6

5

The results show that both miconazole nitrate and urea are released from the films in a controlled manner. The release of urea is higher than miconazole nitrate, this can be explained by the higher solubility and lower molecular

weight of urea, compared to miconazole nitrate. The faster release of urea compared to miconazole nitrate is advantageous since higher concentrations of urea increases the permeability of the nail thereby enabling better penetration of the antifungal agent (miconazole) into deeper layers of the nail.

5

#### Example 4

##### Sustained release activity of clotrimazole and miconazole nitrate *in vitro*

A strain of *Saccharomyces cerevisiea* was used in this study. A 1:10  
 10 dilution of a stock suspension of the above organism was mixed with mycological culture medium and poured into petri dishes. 5 mm diameter discs of the film (Formulation No 1, 6, 7, 14) containing clotrimazole or miconazole nitrate were placed on the hardened medium and incubated for 24 h at 37<sup>0</sup>C. Thereafter the films were transferred to another set of petri dishes containing  
 15 the same medium plus *Saccharomyces cerevisiea*.

Inhibition zones were recorded after incubation period of 24 hours. All samples were tested in triplicate. The mean inhibition zone sizes are summarized in the following table.

20

Inhibition zones of *S. cerevisiea* growth by sustained release films containing miconazole nitrate (formulation No 1,6,7) and clotrimazole (formulation No 14).

Time	Inhibition Zone (mm)			
	Formulation No			
	1	6	7	14
24 hrs	30.67	28.77	28.37	28.64
48 hrs	24.29	20.60	24.95	25.83
72 hrs	21.57	21.72	24.03	21.39
96 hrs	20.59	21.09	24.28	21.11
144 hrs	18.18	19.52	22.94	20.01

5           The measurements of the inhibition zone were discontinued after 6 days. There was no inhibition of *Saccharomyces* growth in control samples of the film containing no antifungal agent. The results reveal that the antifungal agent embedded in the film is pharmacologically active and is able to inhibit the growth of *Saccharomyces cerevisiea* strain for a prolonged period of time.

10

#### Examples of using the formulations

The compositions of the present invention may be applied to the infected nail and surrounding tissues once a day up to once a week.

It is understood that the precise concentrations and duration of treatment is a function of the tissue being treated. It is to be noted that concentrations may also vary with the age and condition of the individual treated. It is to be further understood that for any particular subject, the frequency of application  
5 should be adjusted over time according to the individual need and professional judgment of the physician or person administering or supervising the administration of the formulations.

It will be appreciated that the above descriptions are intended only to serve as examples, and that many other embodiments are possible within the  
10 spirit and the scope of the present invention.

## WHAT IS CLAIMED IS:

1. A sustained release nail varnish composition comprising:
  - (a) a pharmaceutically effective agent;
  - (b) a humectant;
  - (c) water;
  - (d) less than about 7.5% (w/w) based on the total weight of the composition, of a polymeric film-forming agent;
  - (e) at least one additional excipient; and
  - (f) a solvent system including at least one volatile solvent.
2. The nail varnish of claim 1, wherein said pharmaceutically effective agent is selected from the group consisting of an antifungal agent, a keratolytic agent and mixtures thereof.
3. The nail varnish of claim 2, wherein said antifungal agent is selected from the group consisting of amphotericin B, butefanine, butoconazole, carbol-fuchsin, ciclopirox, clioquinol, clotrimazole, econazole, gentian violet, ketoconazole, miconazole, naftifine, nystatin, oxiconazole, sodium thiosulfate, terbinafine, terconazole, tolnaftate, undecylenic acid,



therapeutically acceptable salts thereof, derivatives thereof and mixtures thereof.

4. The nail varnish of claim 3, wherein said antifungal agent is clotrimazole or miconazole nitrate.

5. The nail varnish of claim 2, wherein said antifungal agent is present in an amount of less than about 1% (w/w), relative to the total weight of the composition.

6. The nail varnish of claim 2, wherein said antifungal agent is present in an amount of less than about 5% (w/w) based on the weight of the non-volatile components.

7. The nail varnish of claim 2, wherein said keratolytic agent is selected from the group consisting of urea, sulfur, salicylic acid, podophyllum resin and mixtures thereof

8. The nail varnish of claim 2, wherein said keratolytic agent is

urea.

9. The nail varnish of claim 2, wherein said keratolytic agent is present in an amount of less than about 1% (w/w) relative to the total weight of the composition.

10. The nail varnish of claim 2, wherein said keratolytic agent is present in an amount of from about 0.05% to about 5% (w/w) based on the weight of the non-volatile components.

11. A nail varnish of claim 2, wherein said pharmaceutically effective agent further comprises an antibacterial agent, an antiviral agent, an antipsoriatic agent or mixtures thereof.

12. A nail varnish of claim 11, wherein said antibacterial agent is selected from the group consisting of bacitracin, clindamycin, erythromycin, gentamicin, mupirocin, neomycin, tetracyclines, polymyxin B, benzalkonium chloride, boric acid, hexachlorophene, iodine, iodoquinol, mafanide, mercury ammoniated, metronidazole, nitrofurazone, selenium sulfide, silver

sulfadiazine, salts thereof, derivatives thereof and mixtures thereof.

13. The nail varnish of claim 11, wherein said antibacterial agent is present in an amount of from about 0.01% to about 1% (w/w), relative to the total weight of the composition.

14. The nail varnish of claim 11, wherein said antibacterial agent is present in an amount of from about 0.05% to about 5% (w/w), based on the weight of the non-volatile components.

15. A nail varnish of claim 11, wherein said antiviral agent is selected from the group consisting of acyclovir, amantadine, cidofovir, famciclovir, foscarnet, ganciclovir, palivizumab, penciclovir, ribavirin, rimantadine, valcyclovir, salts thereof, derivatives thereof, and mixtures thereof.

16. The nail varnish of claim 11, wherein said antiviral agent is present in an amount of from about 0.08% to about 0.8% (w/w), relative to the total weight of the composition.

17. The nail varnish of claim 11, wherein said antiviral agent is present in an amount of from about 0.8% to about 8% (w/w), based on the weight of the non-volatile components.

18. A nail varnish of claim 11, wherein said antipsoriatic agent is selected from the group consisting of alclometasone, amcinonide, betamethasone, clobetasol, clocortolone, desonide, desoximetasone, diflorasone, fluocinolone, fluocinonide, flurandrenolide, halcinonide, hydrocortisone, mometasone, prednicarbate and triamcinolone, salts thereof, derivatives thereof, and mixtures thereof.

19. The nail varnish of claim 11, wherein said antipsoriatic agent is present in an amount of from about 0.02% to about 2% (w/w), relative to the total weight of the composition.

20. The nail varnish of claim 11, wherein said antipsoriatic agent is present in an amount of from about 0.1% to about 10% (w/w), based on the weight of the non-volatile components.

21. The nail varnish of claim 1, wherein said humectant is selected from the group consisting of glycerol, sorbitol and mixtures thereof.

22. The nail varnish of claim 1, wherein said humectant is present in an amount of from about 3% to about 15% (w/w), relative to the total weight of the composition.

23. The nail varnish of claim 1, wherein said humectant is present in an amount of from about 5% to about 35% (w/w), based on the weight of the non-volatile components.

24. The nail varnish of claim 1, wherein said water is present in an amount of less than about 5% (w/w), relative to the total weight of the composition.

25. The nail varnish of claim 1, wherein said water is present in an amount of from about 0.4% to about 25% (w/w), based on the weight of the non-volatile components.

26. The nail varnish of claim 1, wherein said polymeric film-forming agent is selected from the group consisting of hydrophobic (water insoluble) polymers.

27. The nail varnish of claim 26, wherein said hydrophobic (water insoluble) polymer is selected from the group consisting of hydrophobic cellulose derivatives, hydrophobic methacrylic polymers, cellulose acetate phthalate, shellac, derivatives thereof, and mixtures thereof.

28. The nail varnish of claim 27, wherein said hydrophobic cellulose derivative is selected from the group consisting of ethyl cellulose of any acceptable molecular weight.

29. The nail varnish of claim 27, wherein said hydrophobic methacrylic polymer is selected from the group consisting of methacrylic acid copolymer type B (USP/NF), methacrylic acid copolymer type C (USP/NF), ammonio methacrylate copolymer type B (USP/NF) and ammonio methacrylate copolymer type A (USP/NF), derivatives thereof, and mixtures

thereof.

30. The nail varnish of claim 1, wherein said polymeric film-forming agent is present in an amount of from about 8% to about 35% (w/w), based on the weight of the non-volatile components.

31. The nail varnish of claim 1, wherein said polymeric film-forming agent is present in a weight ratio of polymer to antifungal agent from about 1:0.01 to about 1:0.3.

32. The nail varnish of claim 1, wherein said polymeric film-forming agent is present in a weight ratio of polymer to keratolytic agent from about 1:0.01 to about 1:1.

33. The nail varnish of claim 1, wherein said polymeric film-forming agent is present in a weight ratio of polymer to antibacterial agent from about 1:0.01 to about 1:0.3.

34. The nail varnish of claim 1, wherein said polymeric film-forming

agent is present in a weight ratio of polymer to antiviral agent from about 1:0.02 to about 1:0.2.

35. The nail varnish of claim 1, wherein said polymeric film-forming agent is present in a weight ratio of polymer to antipsoriatic agent from about 1:0.006 to about 1:0.15.

36. The nail varnish of claim 1, wherein said at least one additional excipient is selected from the group consisting of plasticizers.

37. The nail varnish of claim 36, wherein said plasticizer is selected from the group consisting of dibutyl sebacate, diethyl phthalate, lanolin alcohols, mineral oil, petrolatum, polyethylene glycol, propylene glycol, triacetin, triethyl citrate, and mixtures thereof.

38. The nail varnish of claim 36, wherein said plasticizer is present in an amount of from about 0.1% to about 2% (w/w), relative to the total weight of the composition.



39. The nail varnish of claim 36, wherein said plasticizer is present in an amount of from about 0.5% to about 10% (w/w), based on the weight of the non-volatile components.

40. The nail varnish of claim 36, wherein said plasticizer is present in a weight ratio of plasticizer to polymer from about 0.04:1 to about 0.3:1.

41. The nail varnish of claim 1, wherein said volatile solvent is selected from the group consisting of an alcohol, a ketone, and mixtures thereof.

42. The nail varnish of claim 41, wherein said alcohol is selected from the group consisting of ethanol, isopropyl alcohol, methanol and mixtures thereof, and further wherein said ketone is acetone.

43. The nail varnish of claim 1, wherein said volatile solvent is a mixture of acetone and isopropyl alcohol.

44. The nail varnish of claim 1, wherein said volatile solvent is

present in an amount of from about 60% to about 90% (w/w), relative to the total weight of the composition.

45. The nail varnish of claim 43, wherein said acetone and said isopropyl alcohol are present in a volumetric ratio of acetone to isopropyl alcohol from about 1:4 to about 4:1.

46. The nail varnish of claim 1, wherein said solvent system further includes at least one non-volatile solvent selected from the group consisting of benzyl alcohol, benzyl benzoate, corn oil, cottonseed oil, ethyl oleate, glycerin, glycofural, isopropyl myristate, isopropyl palmitate, mineral oil, peanut oil, polyethylene glycol, propylene glycol, propylene carbonate, sesame oil, soybean oil, water, and mixtures thereof. .

47. A sustained release nail varnish composition comprising:

- (a) an antifungal agent;
- (b) a keratolytic agent;
- (c) a humectant;
- (d) water;

- (e) a polymeric film-forming agent;
- (f) at least one additional excipient; and
- (g) a solvent system including at least one volatile solvent.

48. The nail varnish of claim 47, wherein said antifungal agent is selected from the group consisting of amphotericin B, butefanine, butoconazole, carbol-fuchsin, ciclopirox, clioquinol, clotrimazole, econazole, gentian violet, ketoconazole, miconazole, naftifine, nystatin, oxiconazole, sodium thiosulfate, terbinafine, terconazole, tolnaftate, undecylenic acid, therapeutically acceptable salts thereof, derivatives thereof and mixtures thereof.

49. The nail varnish of claim 48, wherein said antifungal agent is clotrimazole or miconazole nitrate.

50. The nail varnish of claim 47, wherein said antifungal agent is present in an amount of less than about 1% (w/w), relative to the total weight of the composition.

51. The nail varnish of claim 47, wherein said antifungal agent is present in an amount of less than about 5% (w/w) based on the weight of the non-volatile components.

52. The nail varnish of claim 47, wherein said keratolytic agent is selected from the group consisting of urea, sulfur, salicylic acid, podophyllum resin and mixtures thereof

53. The nail varnish of claim 47, wherein said keratolytic agent is urea.

54. The nail varnish of claim 47, wherein said keratolytic agent is present in an amount of less than about 1% (w/w) relative to the total weight of the composition.

55. The nail varnish of claim 47, wherein said keratolytic agent is present in an amount of from about 0.05% to about 5% (w/w) based on the weight of the non-volatile components.

56. The nail varnish of claim 47, further comprising an antibacterial agent, an antiviral agent, an antipsoriatic agent or mixtures thereof.

57. The nail varnish of claim 56, wherein said antibacterial agent is selected from the group consisting of bacitracin, clindamycin, erythromycin, gentamicin, mupirocin, neomycin, tetracyclines, polymyxin B, benzalkonium chloride, boric acid, hexachlorophene, iodine, iodoquinol, mafenide, mercury ammoniated, metronidazole, nitrofurazone, selenium sulfide, silver sulfadiazine, salts thereof, derivatives thereof and mixtures thereof.

58. The nail varnish of claim 56, wherein said antibacterial agent is present in an amount of from about 0.01% to about 1% (w/w), relative to the total weight of the composition.

59. The nail varnish of claim 56, wherein said antibacterial agent is present in an amount of from about 0.05% to about 5% (w/w), based on the weight of the non-volatile components.

60. The nail varnish of claim 56, wherein said antiviral agent is

selected from the group consisting of acyclovir, amantadine, cidofovir, famciclovir, foscarnet, ganciclovir, palivizumab, penciclovir, ribavirin, rimantadine, valcyclovir, salts thereof, derivatives thereof, and mixtures thereof.

61. The nail varnish of claim 56, wherein said antiviral agent is present in an amount of from about 0.08% to about 0.8% (w/w), relative to the total weight of the composition.

62. The nail varnish of claim 56, wherein said antiviral agent is present in an amount of from about 0.8% to about 8% (w/w), based on the weight of the non-volatile components.

63. The nail varnish of claim 56, wherein said antipsoriatic agent is selected from the group consisting of alclometasone, amcinonide, betamethasone, clobetasol, clocortolone, desonide, desoximetasone, diflorasone, fluocinolone, fluocinonide, flurandrenolide, halcinonide, hydrocortisone, mometasone, prednicarbate and triamcinolone, salts thereof, derivatives thereof, and mixtures thereof.

64. The nail varnish of claim 56, wherein said antipsoriatic agent is present in an amount of from about 0.02% to about 2% (w/w), relative to the total weight of the composition.

65. The nail varnish of claim 56, wherein said antipsoriatic agent is present in an amount of from about 0.1% to about 10% (w/w), based on the weight of the non-volatile components.

66. The nail varnish of claim 47, wherein said humectant is selected from the group consisting of glycerol, sorbitol and mixtures thereof.

67. The nail varnish of claim 47, wherein said humectant is present in an amount of from about 3% to about 15% (w/w), relative to the total weight of the composition.

68. The nail varnish of claim 47, wherein said humectant is present in an amount of from about 5% to about 35% (w/w), based on the weight of the non-volatile components.

69. The nail varnish of claim 47, wherein said water is present in an amount of less than about 5% (w/w), relative to the total weight of the composition.

70. The nail varnish of claim 47, wherein said water is present in an amount of from about 0.4% to about 25% (w/w), based on the weight of the non-volatile components.

71. The nail varnish of claim 47, wherein said polymeric film-forming agent is selected from the group consisting of hydrophobic (water insoluble) polymers.

72. The nail varnish of claim 71, wherein said hydrophobic (water insoluble) polymer is selected from the group consisting of hydrophobic cellulose derivatives, hydrophobic methacrylic polymers, cellulose acetate phthalate, shellac, derivatives thereof, and mixtures thereof.

73. The nail varnish of claim 72, wherein said hydrophobic cellulose



derivative is selected from the group consisting of ethyl cellulose of any acceptable molecular weight.

74. The nail varnish of claim 72, wherein said hydrophobic methacrylic polymer is selected from the group consisting of methacrylic acid copolymer type B (USP/NF), methacrylic acid copolymer type C (USP/NF), ammonio methacrylate copolymer type B (USP/NF) and ammonio methacrylate copolymer type A (USP/NF), derivatives thereof, and mixtures thereof.

75. The nail varnish of claim 47, wherein said polymeric film-forming agent is present in an amount of less than about 7.5% (w/w), relative to the total weight of the composition.

76. The nail varnish of claim 47, wherein said polymeric film-forming agent is present in an amount of from about 8% to about 35% (w/w), based on the weight of the non-volatile components.

77. The nail varnish of claim 47, wherein said polymeric

film-forming agent is present in a weight ratio of polymer to antifungal agent from about 1:0.01 to about 1:0.3.

78. The nail varnish of claim 47, wherein said polymeric film-forming agent is present in a weight ratio of polymer to keratolytic agent from about 1:0.01 to about 1:1.

79. The nail varnish of claim 47, wherein said polymeric film-forming agent is present in a weight ratio of polymer to antibacterial agent from about 1:0.01 to about 1:0.3.

80. The nail varnish of claim 47, wherein said polymeric film-forming agent is present in a weight ratio of polymer to antiviral agent from about 1:0.02 to about 1:0.2.

81. The nail varnish of claim 47, wherein said polymeric film-forming agent is present in a weight ratio of polymer to antipsoriatic agent from about 1:0.006 to about 1:0.15.

82. The nail varnish of claim 47, wherein said at least one additional excipient is selected from the group consisting of plasticizers.

83. The nail varnish of claim 82, wherein said plasticizer is selected from the group consisting of dibutyl sebacate, diethyl phthalate, lanolin alcohols, mineral oil, petrolatum, polyethylene glycol, propylene glycol, triacetin, triethyl citrate, and mixtures thereof.

84. The nail varnish of claim 82, wherein said plasticizer is present in an amount of from about 0.1% to about 2% (w/w), relative to the total weight of the composition.

85. The nail varnish of claim 82, wherein said plasticizer is present in an amount of from about 0.5% to about 10% (w/w), based on the weight of the non-volatile components.

86. The nail varnish of claim 82, wherein said plasticizer is present in a weight ratio of plasticizer to polymer from about 0.04:1 to about 0.3:1.

87. The nail varnish of claim 47, wherein said volatile solvent is selected from the group consisting of an alcohol, a ketone, and mixtures thereof.

88. The nail varnish of claim 87, wherein said alcohol is selected from the group consisting of ethanol, isopropyl alcohol, methanol and mixtures thereof, and further wherein said ketone is acetone.

89. The nail varnish of claim 47, wherein said volatile solvent is a mixture of acetone and isopropyl alcohol.

90. The nail varnish of claim 47, wherein said volatile solvent is present in an amount of from about 60% to about 90% (w/w), relative to the total weight of the composition.

91. The nail varnish of claim 89, wherein said acetone and said isopropyl alcohol are present in a volumetric ratio of acetone to isopropyl alcohol from about 1:4 to about 4:1.

92. The nail varnish of claim 47, wherein said solvent system further includes at least one non-volatile solvent selected from the group consisting of benzyl alcohol, benzyl benzoate, corn oil, cottonseed oil, ethyl oleate, glycerin, glycofural, isopropyl myristate, isopropyl palmitate, mineral oil, peanut oil, polyethylene glycol, propylene glycol, propylene carbonate, sesame oil, soybean oil, water, and mixtures thereof. .

93. A method of preparing a sustained release varnish or spray formulation for treating the nail and surrounding tissues, comprising the steps of:

- (a) preparing a solution including at least one volatile solvent;
- (b) adding water to the solution prepared in (a);
- (c) dissolving the pharmaceutically effective agents, and excipients in the solution prepared in (b);
- (d) adding the humectant to the solution prepared in (c) when the formulation ingredients are completely dissolved; and
- (e) dissolving the polymeric film forming agents in the solution prepared in (d).

ABSTRACT OF THE DISCLOSURE

A topical sustained release delivery system for delivery of antifungal agents to the finger or toenails achieving high penetration through the nails by combining the antifungal agent with a keratolytic agent and a humectant. The pharmaceutical sustained release topical preparation is provided in a varnish or spray form for treating the nail and surrounding tissues, where the active ingredient is an antifungal agent, a keratolytic agent, or preferably a combination of an antifungal and a keratolytic agent. The composition may further comprise an antibacterial, an antiviral, an antipsoriatic agents, or combinations thereof.

Combined Declaration For Patent Application and Power of Attorney

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled CONTROLLED DELIVERY SYSTEM OF ANTIFUNGAL AND KERATOLYTIC AGENTS FOR LOCAL TREATMENT OF FUNGAL INFECTIONS OF THE NAIL AND SURROUNDING TISSUES, the specification of which

(check one) ☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_. I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

<u>NA</u>			<input type="checkbox"/>	<input type="checkbox"/>
(number)	(Country)	(Day, Month, Year Filed)	Yes	No
<u>      </u>	<u>      </u>	<u>      </u>	<input type="checkbox"/>	<input type="checkbox"/>
(number)	(Country)	(Day, Month, Year Filed)	Yes	No
<u>      </u>	<u>      </u>	<u>      </u>	<input type="checkbox"/>	<input type="checkbox"/>
(number)	(Country)	(Day, Month, Year Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States Application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>NA</u>		
(Application Serial No.)	(Filing Date)	Status
		(patented, pending, abandoned)

<u>      </u>	<u>      </u>	<u>      </u>
(Application Serial No.)	(Filing Date)	Status
		(patented, pending, abandoned)

I hereby appoint the following attorneys, with full power of substitution, association, and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

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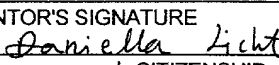
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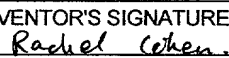
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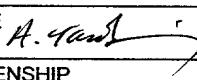
Continuation of Combined Declaration For Patent Application and Power of Attorney


I hereby further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statement may jeopardize the validity of the application of any patent issued thereon.

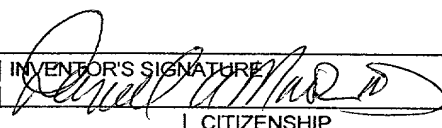
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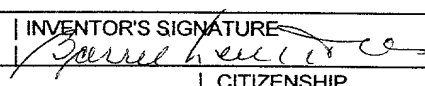
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